| Notice of Allowability   | Application No.                              | Applicant(s)                           |
|--|--|--|
|  | 10/698,087                                   | HOWLAND, ROBERT S.                     |
|  | Examiner                                     | Art Unit                               |
|  | James L. Swiger                              | 3733                                   |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.  1. This communication is responsive to amendment filed 7/12/2007 and interview on 9/26/2007.   |  |  |
|  |  |  |
| 2. The allowed claim(s) is/are <u>5-7 and 12-14</u> .  |  |  |
| <ul> <li>3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some* c) None of the: <ul> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No.</li> <li>3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* Certified copies not received:</li> <li>Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.</li> <li>THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.</li> </ul> </li> <li>4. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.</li> </ul> |  |  |
| 5. CORRECTED DRAWINGS (as "replacement sheets") must be submitted.   |  |  |
| (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review ( PTO-948) attached   |  |  |
| 1)  hereto or 2)  to Paper No./Mail Date   |  |  |
| (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of<br>Paper No./Mail Date  |  |  |
| Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).  |  |  |
| 6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.  |  |  |
|  |  |  |
| Attachment(s)  | 5. Notice of Informal P                      | estant Application                     |
| <ol> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftperson's Patent Drawing Review (PTO-948)</li> </ol>  | 6. ☑ Interview Summary                       |  |
|  | Paper No./Mail Dat<br>7. ⊠ Examiner's Amendr | te <u>20070926</u> .                   |
| 3. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date  | <u> </u>                                     |  |
| 4. Examiner's Comment Regarding Requirement for Deposit of Biological Material   | 8.   | ent of Reasons for Allowance           |
|  |  | MARZO C. ROBERT<br>ORY PATENT EXAMINER |

Application/Control Number: 10/698,087

Art Unit: 3733

## **EXAMINER'S AMENDMENT**

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Samuel Stone on 9/24/2007.

The application has been amended as follows:

In claim 12, beginning with line 27 of the claims submitted 7/12/2007, please amend as follows:

--seats of the respective first and second clamp assemblies, after the assembly has been secured.--

In claim 13, beginning with line 23 of the claims submitted on 7/12/2007, please amend as follow:

--vertebrae, from moving substantially toward one another[[.]],

and wherein the first and second anchor screw assemblies comprise a head portion opposite the threaded portion comprising a spherical shoulder, the spherical shoulder being adapted to mate, pivot and rotate 360° with respect to the bottom spherical seat of the clamp assembly, after the assembly has been secured.--

Application/Control Number: 10/698,087 Page 3

Art Unit: 3733

In claim 14, beginning with line 13 of the claims submitted on 7/12/2007, please amend

as follows:

--clamp assembly, after the clamp assembly has been secured.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to James L. Swiger whose telephone number is 571-272-5557. The examiner can normally be reached on Monday through Friday, 9:00am to 5:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Eduardo Robert can be reached on 571-272-4719. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

9/24/07

EDUKALOC. ROBERT SCPERVISORY PATENT EXAMINER